

06-14-01

A

PATENT

Docket No. IL-10715

Commissioner for Patents  
Washington, DC 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):  
**Bill W. Colston, Jr., Matthew Everett, Fred P. Milanovich, Steve B. Brown,  
Kodumudi Venkateswaran, Jonathon N. Simon**

For (title): **MICRO-IMMUNOASSAY DIPSTICK SYSTEM**

## 1. Type of Application

- ☒ This new application is for an original patent.
- ☐ This new application is a:
- ☐ Division
  - ☐ Continuation
  - ☐ Continuation-in-part (CIP)

## 2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s)

3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

Application Serial No.

Filing Date

## 4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

16 Pages of specification5 Pages of claims1 Pages of abstract5 Sheets of drawings☒ Formal☐ Informal

## 5. Additional papers enclosed

- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449

06/12/01  
JC970 U.S. PTO

JC997 U.S. PTO  
09/880515  
06/12/01

06/12/01  
JC970 U.S. PTO

6. Declaration or oath

- ☒ Enclosed and executed by
  - ☒ Inventor(s)
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
  - ☒ is attached
  - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	35	-20 =	15 x	\$18 =	\$ 270.00
Independent Claims	4	-3 =	1 x	\$80 =	\$ 80.00
				Multiple Claims	= \$ 350.00
				Basic Filing Fee	= \$ 710.00
				Sub-Total	= \$ 1060.00
				Small Entity Filing Fee	= \$ 530.00

Docket No. IL-10715

**10. Small Entity Statement(s)**

- ☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27

Filing Fee Calculation (50% of regular filing fee) \$ 530.00

**11. Fee Payment**

- ☐ Not Enclosed  
☒ Enclosed (See Account No. Below)

**Total Basic Filing Fees To Be Paid** \$ 530.00

**12. Method of Payment of Fees**

- ☐ Check in the Amount of \$ \_\_\_\_\_  
☒ Charge Account No. 12-0695 in the amount of \$ 530.00

**A duplicate of this transmittal is attached.**

**13. Instructions As To Overpayment/Underpayment**

- ☒ credit/charge  
Account No. 12-0695  
☐ Refund

Dated: 6/12/2001



Alan H. Thompson  
Patent Attorney  
Registration No. 29,981  
P.O. Box 808, L-703  
Livermore, CA 94551

Telephone (925) 422-7820

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Billy W. Colston, Jr. *etal* Attorney Docket No.: IL-10715

Serial No. : Art Unit:

Filed : Examiner:

For : MICRO-IMMUNOASSAY DIPSTICK SYSTEM

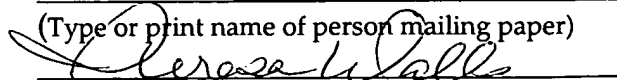
Commissioner for Patents  
Washington, D.C. 20231EXPRESS MAIL CERTIFICATE"Express Mail" label number EL533024670USDate of Deposit 6/12/2001I hereby certify that the following *attached*

1. Recordation Cover Sheet
2. Assignment
3. New Application Transmittal (in duplicate)
4. Application  
(Specification 16 pages, Claims 5 pages, Abstract 1 page)  
Combined Declaration and Power of Attorney, Five (5) sheets  
of formal drawings
5. Verified Statement Claiming Small Entity Status
6. Information Disclosure Statement, Form PTO-1449, 2 US Patents  
and 1 Other disclosures
7. Certificate of Mailing
8. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

Teresa Walls

(Type or print name of person mailing paper)



(Signature of person mailing paper or fee)